

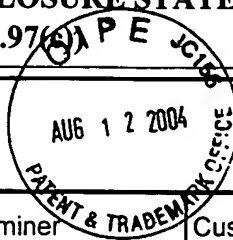
FW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(d))

Docket No.  
10421US01

In Re Application Of: **Jathan D. Edwards**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/807,822	03/24/2004			2655	4335

Title: **MULTI-TRACK MASTERING TECHNIQUES**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

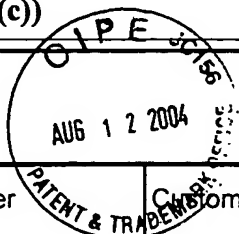
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
10421US01

In Re Application: **Jathan D. Edwards**



Application No. 10/807,822	Filing Date 03/24/2004	Examiner	Customer No.	Group Art Unit 2655	Confirmation No. 4335
-------------------------------	---------------------------	----------	--------------	------------------------	--------------------------

**MULTI-TRACK MASTERING TECHNIQUES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_).

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 8/10/4 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence \_\_\_\_\_

Eric D. Levinson

Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

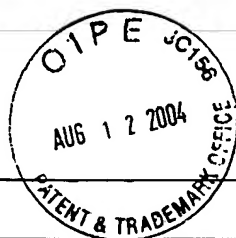
\*This certificate may only be used if paying by deposit account.

*Eric D. Levinson*  
Signature

Dated: 8/10/4

Eric D. Levinson, Reg. No. 35,814  
Imation Corp. Legal Affairs  
P.O. Box 64898  
St. Paul, Minnesota 55164-0898  
Telephone: 651/704-3604  
Facsimile: 651/704-5951

CC:

**INFORMATION DISCLOSURE  
CITATION**


Atty. Docket No.: 10421US01

Serial No.: 10/807,822

Applicant(s): Jathan D. Edwards

Filing Date: 03/24/2004

Group: 2655

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		3,565,978	02/71	Folger et al.			
		4,150,398	04/79	Kojima et al.			
		4,304,806	12/81	Anderson et al.			
		4,308,337	12/81	Roach et al.			
		4,374,077	02/83	Kerfeld			
		4,519,065	05/85	Lewis et al.			
		4,619,804	10/86	Leonard et al.			
		4,650,735	03/87	De Laat			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		0 418 897 A2	03/91	European Pat. Office				
		0 444 367 A1	09/91	European Pat. Office				
		DE 41 40 712 A1	10/92	Germany			Abstract Only	
		60-029950	02/85	Japan			Abstract Only	
		64-023440	01/89	Japan			X	

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

			F. Dill et al., "Characterization of Postive Photoresist," IEEE Transactions on Electron Devices, Vol. ED-22, No. 7, July 1975, pp. 445-452
			E. O. Keizer, "VideoDisc Mastering," RCA Review, Vol. 39, No. 1, March 1978, pp. 60-86
			P. Trefonas III et al., "New Principle for Image Enhancement in Single Layer Positive Photoresists," SPIE Vol. 771, <i>Advances in Resist Technology and Processing IV</i> , March 1987, pp. 194-210
			S. Nakamura et al., "High Density Recording for Magneto-optical Disk Drive," IEEE Transactions on Magnetics, Vol. 34, No. 2, March 1998, pp. 411-413

EXAMINER

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>	Atty. Docket No.: 10421US01		Serial No.: 10/807,822	
	Applicant(s): Jathan D. Edwards			
	Filing Date: 03/24/2004		Group: 2655	

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,096,563	03/92	Yoshizawa et al.			
		5,149,607	09/92	De Graaf et al.			
		5,325,353	06/94	Sasaki et al.			
		5,381,394	01/95	Yanagawa			
		5,436,885	07/95	Okumura et al.			
		5,516,469	05/96	Santoh et al.			
		5,576,918	11/96	Bar-Gadda et al.			
		5,581,539	12/96	Horie et al.			
		5,626,941	05/97	Ouano			
		5,635,267	06/97	Yamada et al.			
		5,701,288	12/97	Seong			
		5,723,033	03/98	Weiss			
		5,739,972	04/98	Smith et al.			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		2-10536	01/90	Japan			X	
		2-150325	06/90	Japan			Abstract Only	
		2-244440	09/90	Japan			X	
		6-60441	03/94	Japan			X	
		59-193560	11/94	Japan			Abstract Only	
		8-147768	06/96	Japan			X	

**EXAMINER**

**Date Considered**

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>	<b>Atty. Docket No.: 10421US01</b>	<b>Serial No.: 10/807,822</b>
	<b>Applicant(s): Jathan D. Edwards</b>	
	<b>Filing Date: 03/24/2004</b>	<b>Group: 2655</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,751,510	05/98	Smith et al.			
		5,763,037	06/98	Ohtomo et al.			
		5,889,756	03/99	Ichihara et al.			
		5,949,587	09/99	Takamizawa et al.			
		6,183,829	02/01	Daecher et al.			
		6,190,838	02/01	Kerfeld			
		6,324,139	11/01	Nakane			
		6,728,196	04/04	Edwards			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		08-306080	11/96	Japan			X	
		97097452	04/97	Japan			X	
		97138981	05/97	Japan			X	
		WO 00/48172	08/00	WIPO				
		WO 03/091805	11/03	WIPO				

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**


<b>EXAMINER</b>	<b>Date Considered</b>
-----------------	------------------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.